

investment management and financial planning

Birmingham office



Key contacts

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Managed funds service

Collective funds offer a spread of exposure to the market in a cost-effective way. We have built up considerable expertise in this area and have extensive contacts across the industry.

Specialist in-house funds

We offer a wide range of in-house funds covering main market regions and some specialised sectors.

Bespoke pooled funds

We were one of the earliest firms to establish family unit trusts, having launched our first such fund in 1989. We are authorised and regulated to offer an open-ended investment company structure either onshore or offshore.

Personal financial planning

There is no substitute for sound financial planning. Our personal financial planning specialists provide high-quality bespoke financial advice on a wide range of issues, including protection, investment, retirement, estate and tax planning.

Smith & Williamson Financial Services Limited.

Authorised and regulated by the Financial Services Authority.

Smith & Williamson Investment Management,

a trading name of NCL Investments Limited (member of the London Stock Exchange) and Smith & Williamson Investment Management Limited. Both companies are authorised and regulated by the Financial Services Authority.

Investment does involve risk. The value of investments can go down as well as up. The investor may not receive back in total the original amount invested. Past performance is not necessarily a guide to future performance. Rates of tax are those prevailing at the time and are subject to change without notice. Clients should always seek appropriate tax advice from their financial adviser before committing funds for investment. Tax treatment depends on the individual circumstances of each client. When investments are made in overseas securities, movements in exchange rates may have an effect on the value of that investment. The effect may be favourable or unfavourable.



Who we are

Smith & Williamson was founded over a century ago and has been actively involved in investment management since 1911.

We are an independent company, owned and managed by our directors and staff, providing investment, accountancy, financial advisory and banking services from 12 offices across the UK and Ireland.

Our recently opened Birmingham office provides investment management, personal financial planning and pension services, and is supported by the firm's broader business.

The team takes great pride in providing traditional investment management services and developing close business relationships between clients and senior experienced fund managers. Our specialist services are tailored to meet the requirements of each of our clients, whether they are private individuals, trusts, charities or institutions.

Investment services

We adopt a rigorous and reasoned investment process which marshals a large flow of information into a pool of investment ideas for fund managers to apply to individual clients and their portfolios. We encourage flair and a variety of investment approaches, with each fund manager retaining complete flexibility to tailor central themes and ideas into a portfolio that best suits your needs.

Discretionary portfolio management

This is our core service and allows managers to react quickly to fast-moving markets and changing economic circumstances. We agree an objective and a benchmark with you, noting any individual views or considerations you may have.

Advisory portfolio management

We can provide an advisory service where we contact you to make recommendations before taking instructions on your behalf. Alternatively, we offer an execution-only service for experienced investors who prefer to make their own decisions.

Charities

Our specialists look after private and institutional charities and the needs of the professional trustee. Each of our charities has a segregated portfolio tailored to its specific needs and objectives.

SIPPs

We manage Self-Invested Personal Pensions (SIPPs) on both an advisory and discretionary basis. We can help set up a SIPP for a fixed, competitive fee, while our Financial Services department can offer detailed and expert advice on complex pension planning where required.

ISAs

We manage Individual Savings Accounts on both a stand-alone basis and as part of larger portfolios.

Family office services

Families with substantial assets often require a consolidated service from their advisers. With our range of investment, tax and financial planning services, we are well placed to deliver these. Key considerations include asset allocation, inheritance planning and the tax-efficient structure of investments. We also help with day-to-day cash management and tax compliance.

Banking and cash management

As a licensed bank, we provide current and deposit accounts in all major currencies and cheque book accounts in sterling. We provide the normal services of fixed interest deposits, electronic transfers, foreign exchange services, direct debits and standing orders.